

CHAPTER 12
DEFENSE HEALTH PROGRAM
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CHAPTER 12
DEFENSE HEALTH PROGRAM

1201 GENERAL**120101 Purpose**

A. This chapter provides instructions applicable to budget formulation and congressional justification for the Defense Health Program.

B. The following appropriations are covered as subsections of the Defense Health Program appropriation:

- Operation and Maintenance
- Procurement
- *Research, Development, Test and Evaluation*

120102 Submission Requirements

General guidance with regard to submission requirements is presented in Chapter 1. Chapter 12 covers specific backup material requirements for the above accounts. The ASD(HA) should also consult all of the other chapters of this regulation for exhibit requirements that are not specifically addressed in this chapter including Chapter 19 - Special Analyses. The ASD(HA) is also responsible for providing data to update the Budget Review System (BRS) and the Future Years Defense Program (FYDP) automated systems for the Defense Health Program appropriation. The Military Components must *reconcile all DHP personnel data with, and submit all* proposed military and civilian medical *personnel* changes to the BRS and FYDP through Health Affairs -- TRICARE Management Activity (Resource Management).

120103 Preparation of Material

General guidance with regard to format and preparation of material is presented in Chapter 1. Chapter 12 provides additional specific guidance with regard to the back-up material required for the Defense Health Program.

120104 References

Chapter 3 provides guidance related to Operation and Maintenance costs, Chapter 4 provides guidance and formats related to Procurement appropriations, *and Chapter 5 provides guidance and formats related to Research, Development, Test and Evaluation appropriations.*

1202 BUDGET ESTIMATES SUBMISSION**120201 Purpose**

This Section provides guidance for preparation and submission of budget estimates for the Defense Health Program. The budget estimates consist of all operation and maintenance, procurement, *and research, development, test and evaluation* resources that support the medical activities that were consolidated into the Defense Health Program.

120202 Submission Requirements

A. The *Assistant* Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance, procurement, *and research, development, test and evaluation appropriations* as specified in Chapters 3, 4, *and 5* respectively. The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses.

B. In addition, the Cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the USD (Comptroller). Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit should be provided to Office of the USD(C) P/B Operations and Personnel Directorate, Room 3D868, the Pentagon.

1203 CONGRESSIONAL JUSTIFICATION/PRESENTATION**120301 Purpose**

A. This Section presents the exhibit requirements for submission to Congress. Examples of budget exhibits can be found in Section 1204.

120302 Submission Requirements

A. The Assistant Secretary of Defense (Health Affairs) is responsible for submitting all exhibits required for the operation and maintenance, procurement, *and research, development, test and evaluation* appropriations as specified in Chapters 3, 4, and 5 respectively. The Assistant Secretary of Defense (Health Affairs) is also responsible for submitting exhibit requirements specified in the other chapters of this regulation including Chapter 19 – Special Analyses.

B. In addition, the cost of Medical Activities Exhibit (PB-11, PB-11A, PB-11B) will be prepared and submitted to the Office of the Under Secretary of Defense (Comptroller) (USD(C)). Formats for these exhibits are provided at the end of this Chapter. Two copies of each exhibit are provided at the end of this Chapter. Two copies of each exhibit should be provided to the OUSD (C) , Program/Budget, Operations and Personnel Directorate, Room 3D868, the Pentagon.

C. Two Copies of the PBA-9 (Medical Programs Exhibit for the O&M Overview) will be due to the OUSD(C), Program/Budget, Operations and Personnel Directorate at the time that the President's budget exhibits are due. The required format for the PBA-9 is provided at Chapter 3, Section 0304.

D. The OP-5 exhibit and other supporting exhibits will be prepared for each of the following activities. Meaningful performance criteria should be displayed for each activity.

- In-House Care
- Private Sector Care
- Consolidated Health Support
- Information Management
- Management Activities
- Education and Training
- Base Operations/Communications

1204 DEFENSE HEALTH PROGRAM SUBMISSION FORMATS**120401 Purpose**

The formats provided on the following pages reflect guidance presented in previous sections of this chapter. Unless modified in a submission budget call, the formats should be followed.

120402 Unique Exhibits in Support of the Defense Health Program

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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Defense Health Program Funding Summary
(Dollars in Thousands)

<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>PY-CY Change</u> \$ (%)	<u>CY-BY1 Change</u> \$ (%)	<u>BY1-BY2Change</u> \$ (%)
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Operation and Maintenance

In-House Care
Private Sector Care
Consolidated Health Support
Information Management
Management Activities
Education and Training
Base Operations/Communications
Subtotal Operation and Maintenance

Procurement

Dental Equipment
Food Ser, Preventive Med, Pharmacy Equip
Medical Information System Equipment
Medical Patient Care Administrative Equip
Medical/Surgical Equipment
Other Equipment
Pathology/Lab Equipment
Radiographic Equipment
Subtotal Procurement

Submitting Components should refer to the FYDP Structure Management (FSM) System as described in section 010702 of Chapter 1 of this volume to ensure that Health program FYDP program elements shown on this format are current.

DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM

Defense Health Program Funding Summary
(Dollars in Thousands)

FY PY <u>Actual</u>	FY CY <u>Estimate</u>	FY BY1 <u>Estimate</u>	FY BY2 <u>Estimate</u>	<u>PY-CY Change</u> _____ \$ _____ (%)	<u>CY-BY1 Change</u> _____ \$ _____ (%)	<u>BY1-BY2Change</u> _____ \$ _____ (%)
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Research, Development, Test and Evaluation

Congressionally Directed Programs
Information Technology Development
Subtotal RDT&E

Total Defense Health Program

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group

(Dollars in Thousands)

	FY PY	FY CY	FY BY1	FY BY2	PY-CY Change	CY-BY1 Change	BY1-BY2 Change
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u> <u>(%)</u>	<u>\$</u> <u>(%)</u>	<u>\$</u> <u>(%)</u>

IN-HOUSE CARE

08077000 Defense Medical Centers, Hospitals & Medical Clinics - CONUS
08079000 Defense Medical Centers, Hospitals & Medical Clinics - OCONUS
08077150 Dental Care Activities - CONUS
08079150 Dental Care Activities - OCONUS
Subtotal In-House Care

PRIVATE SECTOR CARE

08077230 *TRICARE* Managed Care Support (MCS) Contracts
08077130 *Purchased Health Care*
Subtotal Private Sector Care

CONSOLIDATED HEALTH SUPPORT

08017200 Examining Activities
08077140 Other Health Activities
08077050 Military Public/Occupational Health
08077600 Veterinary Services
08077240 Military Unique Requirements - Other Medical
08077250 Aeromedical Evacuation System
08077850 Armed Forces Institute of Pathology (AFIP)
Subtotal Consolidated Health Support

INFORMATION MANAGEMENT

08077910 MHS Tri-Service Information Management/Information Technology

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group
(Dollars in Thousands)

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>PY-CY Change</u> \$ (%)	<u>CY-BY1 Change</u> \$ (%)	<u>BY1-BY2 Change</u> \$ (%)
<u>MANAGEMENT ACTIVITIES</u>							
08077980 Management Headquarters							
08077090 TRICARE Management Activity							
Subtotal Management Activities							
<u>EDUCATION AND TRAINING</u>							
08067220 Armed Forces Health Professions Scholarship Program							
08067210 Uniformed Services University of the Health Sciences							
08067610 Other Education and Training							
Subtotal Education and Training							
<u>BASE OPERATIONS/COMMUNICATIONS</u>							
08077760 Minor Construction – CONUS							
08079760 Minor Construction – OCONUS							
08077780 Maintenance and Repair - CONUS							
08079780 Maintenance and Repair – OCONUS							
08062760 Facilities Restoration and Modernization – CONUS							
08863760 Facilities Restoration and Modernization – OCONUS							
08062780 Facilities Sustainment – CONUS							
08063780 Facilities Sustainment - OCONUS							
08077790 Real Property Services - CONUS							
08079790 Real Property Services - OCONUS							
08077950 Base Communications - CONUS							
08079950 Base Communications - OCONUS							
08077960 Base Operations - CONUS							
08079960 Base Operations - OCONUS							
08077530 Environmental Conservation							
08077540 Pollution Prevention							
08077560 Environmental Compliance							
08077900 Visual Information Systems							
Subtotal Base Ops/Comm							
TOTAL DHP - OPERATION AND MAINTENANCE							

Exhibit PB-11 Defense Health Program Funding Summary
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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group

(Dollars in Thousands)

<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>PY-CY Change</u> \$ (%)	<u>CY-BY1 Change</u> \$ (%)	<u>BY1-BY2 Change</u> \$ (%)
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SPECIAL INTEREST O&M ITEMS

Private Sector Care

Total Managed Care Support (MCS)

Administrative Costs
Health Care Costs

Dental Programs

Family Member Dental Program
Selected Reserve Dental Program

Uniformed Services Family Health Plan (USFHP)

National Mail Order Pharmacy

Custodial Care

TRICARE Senior Prime

Supplemental Care

Other

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Operation and Maintenance Funding by Subactivity Group

(Dollars in Thousands)

FY PY <u>Actual</u>	FY CY <u>Estimate</u>	FY BY1 <u>Estimate</u>	FY BY2 <u>Estimate</u>	<u>PY-CY Change</u> \$ (%)	<u>CY-BY1 Change</u> \$ (%)	<u>BY1-BY2 Change</u> \$ (%)
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SPECIAL INTEREST O&M ITEMS

(Included under the Private Sector Care Special Interest Items)

Service Non-Central Medical Information Management/Information Technology Programs (includes associated Base Communications requirements)

08077140 Other Health Activities

Regional Management (Lead Agents, Healthcare Support Offices, Regional Medical Commands)

Medical Services Squadrons

Central Medical Laboratories

Army Medical Department Field Procurement Offices and Central Design Activity

Patient Related Travel

Family Advocacy Programs

Other

Total Other Health Activities

08077240 Military Unique Requirements - Other Medical

Physiological Training Units

Drug Abuse Detection Laboratories

Military Blood Program Agency

Optical Repair/Fabrication Laboratories

Armstrong Laboratory

Health Facilities Offices

Medical Logistics Offices

Medical Support Offices

Navy Medical Logistics Command

Readiness Activities (including Medical Wartime Hospital Integration Office)

Walter Reed Army Institute of Research

Other

Total Military Unique Requirements – Other Medical

Per Capita Cost per user (Source: Resource Analysis & Planning System (RAPS) database)

Exhibit PB-11 Defense Health Program Funding Summary

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**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Procurement Funding

(Dollars in Thousands)

	<u>FY PY</u> <u>Actual</u>	<u>FY CY</u> <u>Estimate</u>	<u>FY BY1</u> <u>Estimate</u>	<u>FY BY2</u> <u>Estimate</u>	<u>PY-CY Change</u> \$ (%)	<u>CY-BY1 Change</u> \$ (%)	<u>BY1-BY2 Change</u> \$ (%)
08077200 Initial Outfitting							
Dental Equipment							
Food Svc, Preventive Med, Pharmacy Equip							
Medical Information System Equipment							
Medical Patient Care Administrative Equip							
Medical/Surgical Equipment							
Other Equipment							
Pathology/Lab Equipment							
Radiographic Equipment							
Subtotal Initial Outfitting							
08077210 Replacement & Modernization							
Dental Equipment							
Food Svc, Preventive Med, Pharmacy Equip							
Medical Information System Equipment							
Medical Patient Care Administrative Equip							
Medical/Surgical Equipment							
Other Equipment							
Pathology/Lab Equipment							
Radiographic Equipment							
Subtotal Replacement & modernization							
Total - Procurement							

**DEPARTMENT OF DEFENSE
COST OF MEDICAL ACTIVITIES
DEFENSE HEALTH PROGRAM**

Research, Development, Test and Evaluation Funding

(Dollars in Thousands)

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>PY-CY Change</u>	<u>CY-BY1 Change</u>	<u>BY1-BY2 Change</u>
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>\$</u> <u>(%)</u>	<u>\$</u> <u>(%)</u>	<u>\$</u> <u>(%)</u>

63115 Medical Development

65502 Small Business Innovative Research (SBIR) Program

65013 Information Technology Development

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM
Personnel Summary**

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>	<u>End</u>	<u>Avg</u>
<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>	<u>Strength</u>
<u>Active Military – Assigned to DHP</u>											
<u>Army Total</u>											
Officers											
Enlisted											
<u>Navy Total</u>											
Officers											
Enlisted											
<u>Air Force Total</u>											
Officers											
Enlisted											
<u>Total Active Duty</u>											
Officers											
Enlisted											
<u>Active Military - Non DHP Medical</u>											
<u>Army Total</u>											
Officers											
Enlisted											
<u>Navy Total</u>											
Officers											
Enlisted											
<u>Air Force Total</u>											
Officers											
Enlisted											
<u>Total Active Duty</u>											
Officers											
Enlisted											

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

	<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>	<u>End</u>	<u>FTEs</u>
I. Civilian Personnel - US Direct Hire												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total												
II. Civilian Personnel - Foreign National Direct Hire												
Army												
Navy												
Air Force												
Total												
III. Civilian Personnel - Foreign National Indirect Hire												
Army												
Navy												
Air Force												
Total												
IV. Total Civilian Personnel												
Army												
Navy												
Air Force												
TRICARE Management Activity												
Total <u>1/</u>												
V. Summary Civilian Personnel												
U.S. Direct Hire												
Foreign National Direct Hire												
Foreign National Indirect Hire												
Total, Civilians <u>1/</u>												

1/ Includes reimbursable civilians - memo

**DEPARTMENT OF DEFENSE
DEFENSE HEALTH PROGRAM**

Personnel Summary

<u>FY PY Actual</u>		<u>FY CY Estimate</u>		<u>FY BY1 Estimate</u>		<u>FY BY2 Estimate</u>		<u>CY-BY1 Change</u>		<u>BY1-BY2 Change</u>	
End		End		End		End		End		End	
<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>	<u>Strength</u>	<u>FTEs</u>

SPECIAL INTEREST MANPOWER (End Strength)

TRICARE Regional Lead Agents:

Military End Strength

Civilian End Strength

DEFENSE HEALTH PROGRAM

Medical Workload Data

	<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>CY-BY1</u>	<u>BY1-BY2</u>
	<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>
<u>Population - Average Eligible Beneficiaries</u>						
Active Duty						
Active Duty Dependents						
CHAMPUS Eligible Retirees						
CHAMPUS Eligible Dependents of Retirees						
Subtotal CHAMPUS Eligibles						
Over 65 Eligible Beneficiaries						
Total Average Eligible Beneficiaries						
<u>Population - Average MHSS Reliants/Users</u>						
Active Duty						
Active Duty Dependents						
CHAMPUS Eligible Retirees						
CHAMPUS Eligible Dependents of Retirees						
Subtotal CHAMPUS Eligibles						
Over 65 Eligible Beneficiaries						
Total Average MHSS Reliants/Users						
<u>Enrollees - Direct Care (Enrollment Based Capitation)</u>						
TRICARE Region 1						
TRICARE Region 2						
TRICARE Region 3						
TRICARE Region 4						
TRICARE Region 5						
TRICARE Region 6						
TRICARE Region 7						
TRICARE Region 8						
TRICARE Region 9						
TRICARE Region 10						
TRICARE Region 11						
<i>TRICARE Region 12 (HI and AK)</i>						
Total Regions 1-12						

Exhibit PB-11B Medical Workload Data
(Page 1 of 4)

DEFENSE HEALTH PROGRAM

Medical Workload Data

FY PY	FY CY	FY BY1	FY BY2	CY-BY1	BY1-BY2
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Enrollees - Direct Care (Enrollment Based Capitation)

Overseas

Total Direct Care

Enrollees (Managed Care Support Contract)

TRICARE Region 1

TRICARE Region 2

TRICARE Region 3

TRICARE Region 4

TRICARE Region 5

TRICARE Region 6

TRICARE Region 7

TRICARE Region 8

TRICARE Region 9

TRICARE Region 10

TRICARE Region 11

TRICARE Region 12 (HI and AK)

Total MCS Contracts

Infrastructure

Hospitals/Medical Centers

Operating Beds

Medical Clinics

Exhibit PB-11B Medical Workload Data

(Page 2 of 4)

DEFENSE HEALTH PROGRAM

Medical Workload Data

FY PY	FY CY	FY BY1	FY BY2	CY-BY1	BY1-BY2
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Direct Care System Workload (from MEPRS)

Dispositions
Inpatient Work Units (IWUs)
Occupied Bed Days
Average Length of Stays (Bed Days/Disposition)
Total Ambulatory Visits
Total Ambulatory Work Units (AWUs)
Ambulatory Procedure Visits (APVs)

Dental Workload (Composite Time Values (CTVs))

CONUS
OCONUS
Total CTVs

CONUS
Active Duty
Non-Active Duty
Total CONUS

OCONUS
Active Duty
Non-Active Duty
Total OCONUS

Exhibit PB-11B Medical Workload Data
(Page 3 of 4)

DEFENSE HEALTH PROGRAM
Medical Workload Data Exhibit

<u>FY PY</u>	<u>FY CY</u>	<u>FY BY1</u>	<u>FY BY2</u>	<u>CY-BY1</u>	<u>BY1-BY2</u>
<u>Actual</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Estimate</u>	<u>Change</u>	<u>Change</u>

Private Sector Workload

Managed Care Support Contracts (*TRICARE Prime and Extra*)

Inpatient Admissions

Outpatient Visits

TRICARE Standard

Inpatient Admissions

Outpatient Visits

Overseas CHAMPUS

Inpatient Admissions

Outpatient Visits

Family Member Dental Program

Enrollees

Selective Reserve Dental Program

Enrollees

TRICARE Dental Program

Enrollees

Uniformed Services Family Health Plan (formerly USTF)

Enrollees (DoD only)

Special Demonstrations

Federal Employees Health Benefits Program (FEHBP)

Enrollees

TRICARE Senior Prime (TSP)

Enrollees